

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DenBaars, et al. ✓

Serial No. 09/528,262 ✓

Filed: March 17, 2000 ✓

Title: MULTI ELEMENT, MULTICOLOR SOLID STATE LED/LASER ✓

Group Art Unit: 2814 ✓

Assistant Commissioner for Patents
Washington, D.C. 20231# 7-0-31-00
HDS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification. Applicants submit a copy of each of the references listed on the attached supplemental PTO-1449 (Modified) form for consideration by the Examiner.

I, the person signing below certify that:

(a) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement (37 C.F.R. § 1.97(e)(1)); or

(b) no item of information contained in the information disclosure statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).

Respectfully submitted,

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October 19, 2000

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

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Date

Marianne Middleton

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